

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification<sup>6</sup> :

A61B 17/36, H01Q 13/24

A1

(11) International Publication Number:

WO 99/56642

(43) International Publication Date:

11 November 1999 (11.11.99)

(21) International Application Number: PCT/GB99/01398

(22) International Filing Date: 5 May 1999 (05.05.99)

(30) Priority Data:

9809539.1

6 May 1998 (06.05.98)

GB

(71) Applicant (for all designated States except US): MICROSULIS PLC [GB/GB]; 11b Dragoon House, Hussar Court, Westside View, Waterlooville, Hampshire P07 7SF (GB).

(72) Inventor; and

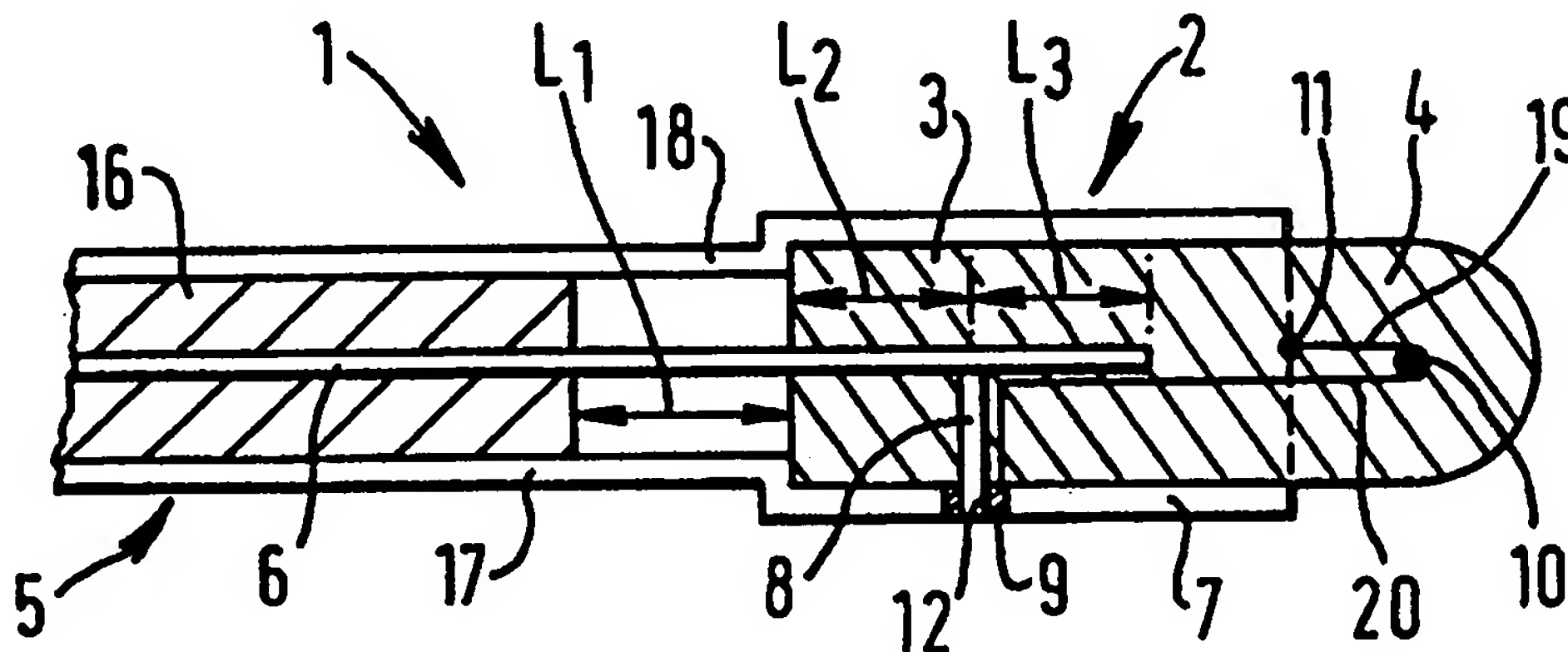
(75) Inventor/Applicant (for US only): CRONIN, Nigel [GB/GB]; 14 Englishcombe Lane, Bath BA2 2ED (GB).

(74) Agent: HOGG, Jeffery, Keith; Withers &amp; Rogers, Goldings House, 2 Hays Lane, London SE1 2HW (GB).

(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

**Published***With international search report.**Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.*

(54) Title: MICROWAVE APPLICATOR

**(57) Abstract**

A microwave applicator for applying electromagnetic radiation at microwave frequency comprises a coaxial input (5) for a microwave signal input, a waveguide (2) for receiving and propagating the microwave signal input, dielectric material (3) positioned within the waveguide (2) and extending beyond the waveguide to form an antenna (4) for radiating microwave energy, characterised in that the coaxial input (5) has direct in-line transition to the dielectric-filled waveguide. Preferably, this direct in-line transition is achieved by the central conductor (6) of the coaxial input extending axially centrally into the waveguide (2) so as to excite microwaves in the waveguide. A lateral conductor (8) extends radially from the central conductor (6) to assist the launch of the microwaves into the waveguide. Preferably, the applicator includes a temperature sensor (10) which is directly connected to the coaxial input (5).

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## MICROWAVE APPLICATOR

### Technical Field

This invention relates to a microwave applicator for the treatment of a body by means of microwave electromagnetic energy. The body is preferably biological tissue and, preferably, the applicator is for use in the treatment of menorrhagia.

Menorrhagia is a common condition in women over the age of forty and manifests itself as excessive bleeding from the endometrium which constitutes the inner wall of the uterus.

The most common form of treatment is to carry out a hysterectomy in which the entire uterus is removed.

In our earlier application published under number WO95/04385, the contents of which are incorporated herein by reference, we disclosed a probe for applying electromagnetic radiation at microwave frequency which comprised a dielectric-filled waveguide with an exposed portion at the tip defining an antenna. However, in several of the embodiments, the microwaves were launched in a first air-filled waveguide and then the microwaves were passed into a second waveguide which contained the dielectric material. Between the waveguides, a tapered waveguide provided a transition. The dielectric filled waveguide was of smaller diameter than the air-filled waveguide because, at a given frequency, the wavelength in dielectric is shorter. Hence the diameter of the applicator in wavelengths remains constant throughout transition.

However, although such a applicator is perfectly satisfactory, the applicator bandwidth is compromised by the resonance found in the long length of dielectric filled waveguide. This means that any change in frequency generated by the microwave source could make a significant difference in applicator efficiency.

### Disclosure of the Invention

According to the present invention, there is provided a microwave applicator for applying electromagnetic radiation at microwave frequency comprising a coaxial input for receiving and passing a microwave signal input of predetermined frequency, a waveguide for receiving and propagating the microwave signal input, dielectric material positioned within the waveguide and extending beyond the waveguide to form an antenna for radiating

microwave energy, characterised in that the coaxial input has direct in-line transition to the dielectric-filled waveguide.

Preferably, this direct in-line transition is achieved by the central conductor of the coaxial input extending axially centrally into the waveguide so as to excite microwaves in the waveguide. A lateral conductor extends radially from the central conductor towards the outer wall of the waveguide and serves to assist the launch of the microwaves into the waveguide in the appropriate mode for transmission to the tip.

Preferably, the applicator includes a temperature sensor which is directly connected to the coaxial input to minimise wiring.

Suitably, where the applicator is to be used for medical treatment such as endometrial ablation, it is important that the applicator be sterile for each use. Accordingly, preferably the applicator is coated with a microwave transparent coating allowing the applicator to be cleaned in conventional manner.

Although the microwave applicator of the present invention may be used for any desired application, it is preferred that it be used for endometrial ablation. This requires applying microwave energy to the applicator at a frequency which will be substantially completely absorbed by the endometrium, monitoring the operating temperature to ensure that the endometrium tissue is coagulated evenly through the uterine cavity, thus maintaining the application of the microwave energy for a period of time sufficient to destroy the cells of the endometrium.

The use of microwave power to heat the endometrium has two main advantages. Firstly, electromagnetic radiation at microwave frequencies is strongly absorbed by tissue and at around 8-12GHz all microwave power is absorbed in a layer of tissue about 5mm thick and it is impossible for microwave heating to extend beyond this region. This is ideal for the treatment of the endometrium which is about 5mm thick. Secondly, because of this strong absorption, the amount of power required to achieve the desired temperature is relatively small.

Moreover, the improved applicator of the present invention has the following major advantages over the applicator previously disclosed in our aforementioned earlier application:

- (i) the waveguide is shorter because, by forming a hybrid between a coaxial input and a dielectric filled waveguide, the distance between the transition and the radiating tip is

very much shorter. This, in turn, reduces the amount of dielectric material necessary which improved band width and applicator efficiency; and

(ii) it is possible to make the applicator flexible.

#### Description of the Drawings

The invention will now be described by way of example with reference to the accompanying drawings, in which:

Figure 1 is a diagrammatic side elevation of a preferred microwave applicator in accordance with the invention; and

Figure 2 is a diagrammatic plan view of the waveguide of Figure 1 showing the microwave fields.

In Figure 1, a microwave applicator (1) has a circular section waveguide (2) filled with a dielectric material (3). The waveguide (2) terminates short of the end of the applicator (1) and a portion (4) of the dielectric extends therefrom to form a radiating antenna tip for the microwave energy. That end of the waveguide remote from the tip (4), is connected to a coaxial cable (5) that powers the waveguide. The inner conductor (6) of the cable (5) extends axially into the dielectric (3) along the axis of the waveguide (2) so as to directly excite microwaves in the waveguide (2). The outer conductor (17) of the cable (5) is connected to the outer conductor wall (7) of the waveguide. The conductor (6) terminates within the waveguide, and a lateral conductor (8) extends radially from the conductor (6) through the outer wall (7) and serves to cause the microwaves to launch into the dielectric material (3) with the magnetic fields (14) and electric fields (15) orientated as shown in Figure 2.

The coaxial cable (5) may be air-filled, but as illustrated in Figure 1, it is filled with a dielectric (16), but this terminates short of the dielectric (3) of the waveguide (2) so as to leave an air gap (18) that accommodates axial expansion of the dielectric (16) when the applicator is heated in use, either during treatment or sterilisation.

The axial dimension  $L_1$  of the air-gap (18), and the axial dimensions  $L_2$  and  $L_3$  of the conductor 6 within the waveguide (2) either side of the conductor (8), are all selected to tune out the reactance of the loop formed by the conductor (8), and thereby reduce backward reflections and enhance forwards launching of the microwaves in the waveguide.

The conductor (8) is insulated by insulation (9) as it passes through the outer waveguide wall (7).



Also shown in Figure 1 is a thermocouple (10) on the outside of the radiating tip (4) for sensing the operating temperature. Moreover, in order to avoid additional wiring, the thermocouple (10) is directly connected by a connection 19 to the outer conductor (17) of the coaxial cable (5) at (11) and by a connection (20) outside the wall (7) to the central conductor (6) of the cable (5) via the lateral conductor (8) and a connection (12) at its outer end. Accordingly, the thermocouple signal passes out on the same coaxial cable (5) bringing the microwave power to the radiating tip (4). Conventional circuitry (not shown) is used to sense and extract the DC signal from the coaxial cable.

Although not shown, the applicator (1) is provided with a microwave-transparent protective coating of PTFE or other suitable material. The temperature sensing thermocouple (10) is provided between the coating and the dielectric material as well as being insulated from the dielectric material.

The preferred use of the applicator of the present invention as disclosed in our aforementioned published application number WO95/04385 where the applicator is supplied with a microwave frequency input in the microwave spectrum, preferably in the region of 8-12GHz, from a microwave frequency generator source and amplifier.

## Claims

1. A microwave applicator (1) for applying electromagnetic radiation at microwave frequency comprising a coaxial input (5) for receiving and passing a microwave signal input of predetermined frequency, a waveguide (2) for receiving and propagating the microwave signal input, dielectric material (3) positioned within the waveguide and extending beyond the waveguide to form an antenna (4) for radiating microwave energy, characterised in that the coaxial input (5) has direct in-line transition to the dielectric-filled waveguide.
2. A microwave applicator (1) as claimed in claim 1, in which a central conductor (6) of the coaxial input (5) extends axially centrally into the dielectric material (3) of the waveguide (2).
3. A microwave applicator (1) as claimed in claim 2, in which the conductor (6) terminates within the waveguide (2) and a lateral conductor (8) extends radially therefrom.
4. A microwave applicator (1) as claimed in claim 3, in which the conductor (8) is located midway along the length of the conductor within the waveguide (2).
5. A microwave applicator (1) claimed in claim 4 in which the conductor (8) extends through an aperture in the waveguide wall (7) and is electrically insulated from the wall (7).
6. A microwave applicator (1) as claimed in any one of the preceding claims, in which the coaxial input (5) is a dielectric filled cable in which the dielectric (16) terminates short of the waveguide to leave an air-gap (18).
7. A microwave applicator (1) as claimed in any of the preceding claims, in which a sensor is mounted on it, and the sensor is mounted on it, and the sensor signal output is connected to the coaxial input.
8. A microwave applicator (1) as claimed in any one of the preceding claims which is adapted for medical use.
9. A microwave applicator (1) as claimed in claim 8 which is an ablator.
10. A microwave applicator substantially as herein described by way of example with reference to the accompanying drawings.





A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 A61B17/36 H01Q13/24

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61B A61N H01Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 817 635 A (JOINES WILLIAM T ET AL) 4 April 1989 (1989-04-04) column 2, line 27 - column 3, line 2; figure 1 column 4, line 29 - column 5, line 9 ---	1,2
A	DE 28 15 156 A (CGR MEV) 19 October 1978 (1978-10-19) page 9, line 17 - line 27 page 10, line 16; figure 3 ---	1,2
A	WO 95 04385 A (CHEMRING LTD ;FELDBERG IAN (GB); CRONIN NIGEL (GB); EVANS MARTYN () 9 February 1995 (1995-02-09) cited in the application -----	1,6,8

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance  
"E" earlier document but published on or after the international filing date  
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  
"O" document referring to an oral disclosure, use, exhibition or other means  
"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  
"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  
"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  
"&" document member of the same patent family

Date of the actual completion of the international search

26 August 1999

Date of mailing of the international search report

03/09/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Petter, E

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/GB 99/ 01398

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☒ Claims Nos.: 10  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM . PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 10

Claim 10 contains a reference to the drawings, see rule 6.2 a PCT

The applicant's attention is drawn to the fact that claims relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 4817635 A	04-04-1989	NONE	
DE 2815156 A	19-10-1978	FR 2421628 A	02-11-1979
		CA 1115781 A	05-01-1982
		GB 1596459 A	26-08-1981
		JP 1366959 C	26-02-1987
		JP 54000486 A	05-01-1979
		JP 61032025 B	24-07-1986
		US 4312364 A	26-01-1982
WO 9504385 A	09-02-1995	AT 161660 T	15-01-1998
		AU 684803 B	08-01-1998
		AU 7192794 A	28-02-1995
		CA 2163565 A	09-02-1995
		DE 69407598 D	05-02-1998
		DE 69407598 T	16-04-1998
		EP 0711462 A	15-05-1996
		ES 2113114 T	16-04-1998
		GB 2295094 A,B	22-05-1996
		JP 9500804 T	28-01-1997
		ZA 9405453 A	27-03-1995